



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/771,366	01/25/2001	Dirk Basting	LMPY-9220

CONFIRMATION NO. 6443

## FORMALITIES LETTER



\*OC00000006317698\*

Andrew V. Smith  
Sierra Patent Group, Ltd.  
P.O. Box 6149  
Stateline, NV 89449

Date Mailed: 07/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).* 09/21/2001 AWONDAF1 00000007 09771366
- Total additional claim fee(s) for this application is \$1636.
 

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:102	160.00 OP
04 FC:103	1206.00 OP
05 FC:104	270.00 OP

  - \$1206 for 67 total claims over 20.
  - \$160 for 2 independent claims over 3.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2476.**

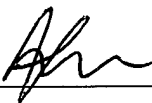
The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2025 03 10 10:00 AM



Section 101  
PATENT  
Docket No.: LMPY 9220 #34

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2881

Examiner:

Serial No.: 09/771,366

Filed: January 25, 2001

In re Application of: Basting, et al.

For: TUNABLE LASER WITH STABILIZED GRATING

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to Director of Patents, Box Missing Parts, Washington, D.C. 20231 on 09.19.01, Signed Gerry Ubau

Gerry Ubau

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Director of Patents  
Box Missing Parts  
Washington, D.C. 20231

Dear Sirs:

Responsive to the Notice to File Missing Parts dated July 19, 2001, please find enclosed herewith:

1. A Declaration and Power of Attorney, executed by the Inventors;
2. Check in the amount of \$ 2476.00 for a large entity calculated as follows:

Filing Fee	\$ 710.00
Multiple Dependent Claims:	\$ 270.00
2 Additional Independent Claims:	\$ 160.00
67 Additional Dependent Claims:	\$1206.00
Surcharge	\$ 130.00
<u>Total</u>	<u>\$2476.00</u>
3. Copy of Notice to File Missing Parts;
4. Transmittal of Formal Drawings;
5. Six (6) sheets of Formal Drawings.

In the event any variance exists between the amount enclosed and the patent office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, the Assistant Commissioner is hereby authorized to charge or credit the difference to our Deposit Account No. 50-0612. An additional copy of this page is enclosed.

Respectfully submitted,  
SIERRA PATENT GROUP, LTD.

Dated: 9-13-01



Andrew V. Smith  
Reg. No. 43,132

Sierra Patent Group, Ltd.  
P.O. Box 6149  
Stateline, NV 89449  
(775) 586-9500



PATENT  
Docket No.: LMPY-9220

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2881

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Gerry Ubau

TRANSMITTAL OF FORMAL DRAWINGS

Director of Patents  
Attn: Drawing Review Branch  
Washington, D.C. 20231

Dear Sir:

With respect to the above-identified patent application, enclosed herewith for filing are 6 (six) sheets of Formal Drawings consisting of Figs. 1, 2, 3, 4, 5a, 5b, 5c, 6 and 7.

Respectfully submitted,  
SIERRA PATENT GROUP, LTD.

Dated: 9-13-01

Andrew V. Smith  
Reg. No. 43,132

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